

ARRA

Auburn University Summary of Awards by Division for the Month Ended January 31, 2012

| CFDA | Fund | DIV | ARRA Grant Name | Project Title | ARRA Dollars Awarded | ARRA Dollars Received/Invoiced | ARRA Dollars Expended | Current Qtr Federal Job Reporting |
|--------|--------------|-----|---|--|----------------------|--------------------------------|-----------------------|-----------------------------------|
| 84.394 | 101 - 111099 | 1 | 10-1334-A State Award: S394A090001 | State Fiscal Stabilization Fund- Auburn Main (COMPLETED October 2011; Fed Reporting December 2011) | \$ 33,805,506.00 | \$ 33,805,506.00 | \$ 33,805,506.00 | - |
| 84.033 | 205820-21 | 1 | P033A090013 | Federal Work Study Student Financial Aid -AU Main Campus (COMPLETED Dec 2009 reporting) | \$ 174,643.00 | \$ 174,643.00 | \$ 174,643.00 | - |
| 47.082 | 219030 | 1 | 0907752 | Dynamical Aspects in nonautonomous and random differential equations and applications | \$ 203,183.00 | \$ 168,232.36 | \$ 168,395.69 | 0.18 |
| 93.701 | 219031 | 1 | 1R21AI083852-01 | Evaluation of Novel Antibiotics and a Novel Shuttle Vector | \$ 410,905.00 | \$ 383,343.92 | \$ 390,204.66 | 0.50 |
| 47.082 | 219032 combo | 1 | 0934821 | The TEAM-Math Teacher Leader Academy for Elementary Mathematics Specialists | \$ 1,499,981.00 | \$ 601,681.77 | \$ 626,908.29 | 7.97 |
| 47.082 | 219034 | 1 | 0929991 | Development of Electronic Systems for Noble Gas Analysis | \$ 148,505.00 | \$ 91,129.21 | \$ 91,129.21 | - |
| 93.701 | 219035 | 1 | 1R03HD058792-01A1 | Exploring physical activity response to different motivational climates in rural AA children (award over stated-should be \$73000) (COMPLETED reported Sept 2010 Fed report) | \$ 73,166.00 | \$ 73,000.00 | \$ 73,000.00 | - |
| 47.082 | 219036 | 1 | 0934800 | Development & Testing of Presage-Pedagogy-process product model | \$ 283,988.00 | \$ 258,465.45 | \$ 258,465.45 | 0.25 |
| 93.701 | 219037 | 1 | 1R03AI078154-01 | Anti-Giardia Cyst Wall Synthase drugs: In vivo testing (COMPLETED Under budget Dec 2011 Reporting) | \$ 76,451.00 | \$ 71,651.80 | \$ 71,651.80 | - |
| 47.082 | 219038 | 1 | 0855182 | ATTRACT: Aerial and Terrestrial Testbed for Research in Aerospace, Computing, and Mathematics | \$ 189,284.00 | \$ 181,437.97 | \$ 184,808.38 | 1.57 |
| 45.024 | 219039 | 1 | 09-4188-7113 | Alabama Prison Arts and Education Program (COMPLETED March 2011 reporting) | \$ 25,000.00 | \$ 25,000.00 | \$ 25,000.00 | - |
| 47.082 | 219040 | 1 | 0854010 | Rheology of Lyotropic Nematogenic Nanorod Dispersions (COMPLETED October 2011; Quarterly December 2011) | \$ 71,000.00 | \$ 71,000.00 | \$ 71,000.00 | - |
| 47.082 | 219041 | 1 | 0905818 | Topical Solutions | \$ 116,653.00 | \$ 108,352.81 | \$ 108,424.30 | - |
| 93.701 | 219042 | 1 | 1R01EB008392-01A2 AU Award 104867A | Brigham and Women's Hospital, Inc.: Microfluid Systems for Screening Stem Cell Microenvironments (AU is non-delegated sub-recipient) | \$ 355,800.00 | \$ 300,735.44 | \$ 303,657.77 | 0.30 |
| 47.082 | 219043 | 1 | 0947832 | PCAN EAGER Biologically Inspired Resource Harvesting in Mobile Wireless Networks (COMPLETED September 2011 Reporting) | \$ 100,000.00 | \$ 99,993.30 | \$ 99,993.30 | - |
| 47.082 | 219044 | 1 | IST-0911783-AU under Award IIP_0911783 | SBIR Phase I Durable Super-Hydrophobic Nano-Composites (AU is delegated sub-recipient under IST) (COMPLETED March 2010 reporting) | \$ 33,291.00 | \$ 33,291.00 | \$ 33,291.00 | - |
| 47.082 | 219045 | 1 | 0934860 | High-speed super-resolution light microscopy for 3D imaging of living cells | \$ 287,553.00 | \$ 85,745.14 | \$ 87,245.36 | 0.30 |
| 47.082 | 219046 | 1 | 0915045 | Studies in Poromechanics and Electro-Poromechanics | \$ 175,777.00 | \$ 114,748.54 | \$ 114,748.54 | - |

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|---------------------------|-----------------|-----|--------------------------------------|--|-------------------------|--------------------------------|-------------------------|-----------------------------------|
| contract | 219048 | 1 | NNX10RA23C AU Award 092 | Sienna Low Temperature Packaging (AU is non-delegated sub-recipient under Sienna) Contract, not grant (COMPLETED June 2011) | \$ 80,000.00 | \$ 79,998.88 | \$ 79,998.88 | - |
| 93.701 | 219049 | 1 | 3R01AT000123-06S2 AU Award 1 | National University of Health Science -Z Joint Changes in Low Back Pain Following Adjusting (AU is non-delegated sub-recipient) (COMPLETED September 2011 Reporting) | \$ 62,355.00 | \$ 62,219.39 | \$ 62,219.39 | - |
| 20.509 | 219050 | 1 | K-10-0188 No sub award # | Rural Transit Assistance Program -Alabama DOT (AU is non-delegated sub-recipient) (COMPLETED January 2011-last Fed filing quarter end March 2011) | \$ 100,000.00 | \$ 100,000.00 | \$ 100,000.00 | - |
| 81.049 | 219051 | 1 | DE-FG02-00ER54577 | DoE Multi-scale investigation of sheared flows in magnetized plasmas (COMPLETED under budget June 2011) | \$ 140,000.00 | \$ 138,481.68 | \$ 138,481.68 | - |
| 93.213 | 219052 | 1 | 3U19AT004137-03S1 AU Award Auburn 03 | Bio-mechanics of the Spine. Development Center to Study Mechanisms & Effects of Chiropractic Manipulation (Palmer College Chiropractics - prime; AU is non-delegated Sub-Recipient) (COMPLETED Dec 2010 Reporting) | \$ 11,016.00 | \$ 11,015.82 | \$ 11,015.82 | - |
| 81.087 | 219053 | 1 | DE-EE0002755 AU Award 400041371 | GE Global - 300C Electronic Packaging of Measure While Drilling Sensor Systems (AU is a non-delegated sub-recipient) | \$ 71,622.00 | \$ 63,430.14 | \$ 65,885.90 | 0.33 |
| 66.458 | 219056 | 1 | 95424009 AU Award C00594051 | ADEM-Tallapoosa River Basin Numerical Criteria for Wadeable Streams (non-delegated sub-recipient ADEM) - (pending final reimbursement and report) | \$ 37,997.09 | \$ 37,997.09 | \$ 37,997.09 | - |
| 15.656 | 219057 | 1 | 40181AJ015 | Red Hills Salamander Burrow Occupancy at US Army Corps of Engineers Haines Island Park (COMPLETED Dec 2011) | \$ 80,640.00 | \$ 80,640.00 | \$ 80,640.00 | - |
| 93.701 | 219059 | 1 | 3R15ES015886-01A2S1 | Xenoestrogen Regulation of Leydig Cells (COMPLETED September 2011 Reporting) | \$ 15,476.00 | \$ 14,702.89 | \$ 14,702.89 | - |
| 81.049 | 219060/921916 | 1 | DE-FG02-00ER54610 | TAS Recovery Act - MHD Equilibrium and Stability Studies of Current Carrying Plasmas in the Compact Toroidal Hybrid | \$ 250,000.00 | \$ 102,383.58 | \$ 102,383.58 | - |
| contract | 219062 | 1 | 40181AJ046 (state only) | Conduct Inventories for Federally Listed Plant Taxa (Only report to State as award is below reportable amount per DOI) Completed State reporting May 2011) | \$ 20,000.00 | \$ 20,000.00 | \$ 20,000.00 | - |
| 93.718 | 219064 | 1 | 90RC0055 10-100146-05 | Univ South Alabama -Health Information Technology Alabama Regional Extension Center (AU is non-delegated sub-recipient) | \$ 38,500.00 | \$ 3,096.87 | \$ 3,096.87 | - |
| 11.557 | 219066-67 combo | 1 | 01-42-B10580 | Equipment and Training for Rural Alabama Public Computer Centers | \$ 4,636,354.00 | \$ 3,006,805.86 | \$ 3,185,805.93 | 4.91 |
| 20.509 | 219068 | 1 | K-11-0307 No sub award # | Rural Transit Assistance Program -Alabama DOT (AU is non-delegated sub-recipient) Phase 2 (original 219050) (COMPLETED February 2011 under budget) | \$ 100,000.00 | \$ 31,346.00 | \$ 31,346.00 | - |
| 81.RD | 219070 | 1 | DE-AC04-94AL85000 | Sandia Natl Labs - Multichip Module Reliability Study (AU is non-delegated sub-recipient) | \$ 107,517.00 | \$ 1,497.06 | \$ 3,373.55 | - |
| 20.509 | 219071 | 1 | ALDOT Award K-11-0833 | 2011 Rural Transit Assistance Program -Alabama DOT (AU is non-delegated sub-recipient) Phase 3 (219050 and 219068 prior awards) | \$ 100,000.00 | \$ 77,530.57 | \$ 100,000.00 | 0.40 |
| 11.618 | 921901 | 1 | 60NANB9D9140 | Advanced Science, Innovation and Commerce Building | \$ 14,427,075.00 | \$ 1,018,376.55 | \$ 1,039,956.12 | 4.21 |
| Auburn Main Campus | | | | | \$ 58,309,238.09 | \$ 41,497,480.09 | \$ 41,764,976.45 | 20.92 |

| CFDA | Fund | DIV | ARRA Grant Name | Project Title | ARRA Dollars Awarded | ARRA Dollars Received/Invoiced | ARRA Dollars Expended | Current Qtr Federal Job Reporting |
|---------------------------------|--------------|-----|-----------------------------------|---|------------------------|--------------------------------|------------------------|-----------------------------------|
| 84.394 | 102 - 112099 | 2 | 10-1347-A State Award S394A090001 | State Fiscal Stabilization Fund- Auburn Univ. at Montgomery (COMPLETED October 2011; Fed Reporting December 2011) | \$ 4,209,168.00 | \$ 4,209,168.00 | \$ 4,209,168.00 | - |
| 84.033 | 350064 | 2 | P033A090014 | Federal Work Study Student Financial Aid Montgomery Campus (COMPLETED Dec 2009 reporting) | \$ 48,553.00 | \$ 48,553.00 | \$ 48,553.00 | - |
| 20.205 | 350100 | 2 | STMTE-TE09(945) | Highway 271 Beautification Project -Auburn Univ at Montgomery (subrecipient-delegated) (COMPLETED August 2010 under budget Final for Sept. report) | \$ 12,972.00 | \$ 11,120.00 | \$ 11,120.00 | - |
| Auburn Montgomery Campus | | | | | \$ 4,270,693.00 | \$ 4,268,841.00 | \$ 4,268,841.00 | - |

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|--|--------------|---|-----------------------------------|--|-------------------------|------------------------|------------------------|-------------|
| 84.394 | 103 - 113099 | 3 | 10-1345-A State Award S394A090001 | State Fiscal Stabilization Fund- AES (COMPLETED October 2011; Fed Reporting December 2011) | \$ 6,502,090.00 | \$ 6,502,090.00 | \$ 6,502,090.00 | - |
| 93.701 | 381030 | 3 | 1R21AI076893-01A1 | Characterizing the role of the 22 up-regulated genes in mosquito resistance (COMPLETED June 2011) | \$ 401,500.00 | \$ 401,500.00 | \$ 401,500.00 | - |
| 47.082 | 381031 | 3 | SBIR Phase 1 Award IIP_0912233 | Lucigen Corporation: Molecular Diagnostics and Biological Control of Disease in Farmed Channel Catfish (COMPLETED Under budget March 2010 reporting) | \$ 32,996.00 | \$ 29,319.86 | \$ 29,319.86 | - |
| 47.082 | 381032 combo | 3 | 0921271 | Peer Stress in Preadolescence Psychological and Coping Responses | \$ 376,104.00 | \$ 273,667.41 | \$ 274,280.07 | 0.17 |
| 10.783 | 381034 | 3 | 0575-0015 | Technical Assistance for Development of Sustainable Alternative Substrates for Crop Growers (COMPLETED Dec 2010 Reporting) | \$ 100,000.00 | \$ 100,000.00 | \$ 100,000.00 | - |
| 10.687 | 381036 | 3 | 10-JV-11260489-083 | North Carolina-Forest Service Recovery Act Investments: A socioeconomic Assessment (COMPLETED March 2011 reporting) | \$ 50,000.00 | \$ 49,245.84 | \$ 49,245.84 | - |
| contract | 381037 | 3 | 40181AJ025 | Imperiled Fish Survey of Selected Sites in Alabama River and Associated Tributaries (COMPLETED - Final July 2011-Fed Final Reporting September 2011) | \$ 79,133.00 | \$ 72,380.23 | \$ 72,380.23 | - |
| 93.701 | 381035 | 3 | 1RC1AA019293-01 | Biopsychosocial Factors in Economically Disadvantaged Preschool Children's Adjustment | \$ 986,258.00 | \$ 913,003.98 | \$ 919,903.28 | 1.04 |
| 10.315 | 381038 | 3 | 2009-20001-06101 UN MN H001344205 | National TAAF Training Coordination Center - (Univ of Minnesota - AU in non-delegated sub-recipient) | \$ 41,151.00 | \$ 25,466.84 | \$ 27,723.14 | 0.18 |
| 81.041 | 381039 | 3 | AR400-CT11-01111-0001 | DeveBiomass Feasibility Study for the Mississippi Development Authority (Tetra Tech, Inc. - AU is non-delegated sub-recipient) | \$ 10,458.00 | \$ 8,460.00 | \$ 8,460.00 | 0.12 |
| 47.082 | 921915 | 3 | 0963407 | Next Generation Biological Engineering Research Through Renovation of Laboratories at Auburn University | \$ 4,623,008.00 | \$ 53,581.55 | \$ 54,007.23 | 0.79 |
| Alabama Agricultural Experiment Station | | | | | \$ 13,202,698.00 | \$ 8,428,715.71 | \$ 8,438,909.65 | 2.30 |

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|--------|--------------|---|--|--|-----------------|-----------------|-----------------|------|
| 84.394 | 104 - 114099 | 4 | 10-1346-A State Award S394A090001 | State Fiscal Stabilization Fund- CES (COMPLETED October 2011; Fed Reporting December 2011) | \$ 7,250,052.00 | \$ 7,250,052.00 | \$ 7,250,052.00 | - |
| 10.315 | 382030 | 4 | 2009-20001-06101 UN MN H001344217 | National TAAF Training Coordination Center - (Univ of Minnesota - AU in non-delegated sub-recipient) | \$ 103,255.00 | \$ 42,942.31 | \$ 47,141.10 | 0.68 |
| 11.557 | 382033 | 4 | BB-SBA-002-10 & 01-43-B10557 (prime US Dept of Commerce) | Connecting Alabama: Boosting Broadband to Bridge the Digital Divide | \$ 1,074,729.00 | \$ 85,039.86 | \$ 139,306.71 | 2.15 |

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|---|------|-----|-----------------|---------------|-------------------------|--------------------------------|-------------------------|-----------------------------------|
| Alabama Cooperative Extension System | | | | | \$ 8,428,036.00 | \$ 7,378,034.17 | \$ 7,436,499.81 | 2.83 |
| Sub Total by Division | | | | | \$ 84,210,665.09 | \$ 61,573,070.97 | \$ 61,909,226.91 | 26.05 |

ARRA VENDOR AWARDS TO AUBURN (reported to prime who reports on federalreporting.gov)

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|--------|-----------------|---|-------------------------------------|--|---------------|
| vendor | 219047 | 1 | AL DHR-832 | AL DHR - AU is a vendor. All reporting handled by AL DHR | \$ 245,603.00 |
| vendor | 219054 | 1 | AL DRS-C00870035 | AL REHAB -AU is a vendor. All reporting handled by AL REHAB | \$ 117,528.00 |
| vendor | 219055 | 1 | AL DRS-AE0087MS24 | AL REHAB -AU is a vendor. All reporting handled by AL REHAB | \$ 30,000.00 |
| vendor | 219058 | 1 | IDEA B Opelika City School Contract | AU is vendor under contract with Opelika City Schools | \$ 22,000.00 |
| vendor | 219061 | 1 | U000315 Evaluation EETT Grants | AU is under contract to review LEAs EETT Grants (misc LEAs will report spending as part of their award) | \$ 140,286.52 |
| vendor | 219063 & 219072 | 1 | AL DRS-C10870035 | AL REHAB -AU is a vendor. All reporting handled by AL REHAB | \$ 39,969.90 |
| vendor | 219069 | 1 | AL DRS-AE1087MS24 | AU is vendor - FY2011 ADRS Community Rehabilitation Program | \$ 30,000.00 |
| vendor | 350102-103 | 2 | AL DRS-H390A090082 & H393A090026 | ADRS -AUM is a vendor. All reporting handled by AL DRS | \$ 100,000.00 |
| vendor | 350104 | 2 | City Montgomery (9020015322) | City of Montgomery Center for Government 4/30/2012 | \$ 92,520.00 |
| vendor | 350105 | 2 | AL DRS-H390A090082 | ADRS 2011 Strategic Plan 09/30/2011 | \$ 49,254.16 |
| vendor | 350106 | 2 | AL DRS GM0350106 | ADRS Blind Services Strategic Planning 84-390A | \$ 20,000.00 |
| vendor | 382031-32 | 4 | Univ of Minnesota PSCA Contract | Concept for Additions to the TAA Program (PSC Professional Services) AU is a vendor only on these awards (combined) | \$ 314,141.00 |

GRAND TOTAL AUBURN UNIVERSITY

\$ 85,411,967.67 \$ 61,573,070.97 \$ 61,909,226.91 26.05