

AGENCY'S CERTIFICATION TO THE GOVERNOR AS TO RECOVERY ACT REQUIREMENTS

On behalf of the entity named below, I certify to the Governor of the State of Alabama that American Recovery and Reinvestment Act ("Recovery Act") funds accepted and disbursed by:

Auburn University

(State agency/institution, Recipient or Sub-recipient name here)

will be spent as responsibly and effectively as possible in accordance with the purposes specified in the Recovery program/grant, with the appropriate controls and reporting mechanisms to ensure accountability and transparency in compliance with the Recovery Act. I understand that the Governor has certified to the Federal government that Recovery funds received for Recovery program/grant(s) will be used in a manner appropriate for taxpayer dollars. I am aware that this entity may not accept any portion of Recovery funds unless this certification is made to the Governor. I am also aware that a failure to submit required reports in the manner required by the Federal government may result in the loss of this entity's ability to expend said Recovery funds. A copy of this certification shall be placed on file with the Office of the Governor.

In addition, I certify that:

- (1) The information submitted to the Federal government covered by this certification is reported clearly, completely, accurately, and in a timely manner.
- (2) I understand that this entity may be delegated the responsibility for complying with reporting requirements in accordance with Section 1512(c) of the Recovery Act, and other federal guidance for the Recovery program/grants listed below. If applicable, this entity will comply with the reporting requirements of sections 1511, and 1512(c) of the Recovery Act and all regulations or requirements by Federal agencies or Congress pertaining thereto.
- (3) Any use of Recovery funds for infrastructure by this entity is an appropriate use of taxpayer dollars and has received the full review and vetting required by law.
- (4) The funds are intended to be used for the stated purposes with the estimated total cost and the amount of covered funds to be posted on the website www.Stimulus.Alabama.gov.
- (5) I understand that use of Recovery funds by this entity must comply with any requirement for an environmental review under the National Environmental Policy Act.
- (6) I understand that a State or local agency may not receive infrastructure investment funding from funds made available in this Act unless this certification is made and posted.
- (7) This entity will comply with the grant or contract specific, state or federal requirements for these Recovery funds.
- (8) This entity will identify risks and internal controls will be implemented which are expected to be sufficient to mitigate the risk of waste, fraud, and abuse.

(9) I acknowledge that a false statement in this certification may be subject to criminal prosecution, including under 18 U.S.C. §1001.

(10) I also acknowledge that said entity grants or contracts, including certifications provided in connection with such grants, are subject to audit and review by the State Agency or its auditors or investigators and the Examiner of Public Accounts.

I have authority to make this certification on behalf of the applicant entity.

84.394	10-1334A, 10-1345A, 10-1346A, 10-1347A Award S394A090001	State Fiscal Stabilization Funding-Auburn University. All four divisions. (Completed)
84.33	P033A090013 and P033A090014	Federal Word Study Student Financial Aid –AU Main and AU Montgomery (Completed)
47.082	0907752	Dynamical Aspects in non-autonomous and random differential equations and applications 219030
93.701	1R21AI083852-01	Evaluation of Novel Antibiotics and a Novel Shuttle Vector 219031 (Completed)
47.082	0934821	The TEAM-Math Teacher Leader Academy for Elementary Mathematics Specialists 219032-33
47.082	0929991	Development of Electronic Systems for Noble Gas Analysis 219034
93.701	1R03HD058792-01A1	Exploring physical activity response to different motivational climates in rural AA children 219035 (Completed)
47.082	0934800	Development & Testing of Presage-Pedagogy-process product model 219036 (Completed)
93.701	1R03AI078154-01	Anti-Girardia Cyst Wall Synthase drugs: In vivo testing 219037 (Completed)
47.082	0855182	ATTRACT: Aerial and Terrestrial Testbed for Research in Aerospace, Computing, and Mathematics 219038 (Completed)
45.024	09-4188-7113	Alabama Prison Arts and Education Program 219039 (Completed)
47.082	0854010	NSF-CBET-0854010 Rheology of Lyotropic Nematogenic Nanorod Dispersions 219040 (Completed)
47.082	0905818	NSF-DMS-090518 Topical Solutions 219041 (Completed)
47.082	0947832	NSF-ECCS-0947832 ECCS PCAN EAGER Biologically Inspired Resource Harvesting in Mobile Wireless Networks 219043 (Completed)
47.082	IST-0911783-AU	IST-0911783-AU SBIR Phase I Durable Super-Hydrophobic Nano-Composites 219044 (Completed)
47.082	0934860	NSF-CBET-0934860 High-speed super-resolution light microscopy for 3D imaging of living cells 219045 (Completed)
47.082	0915045	NSF-DMS-0915045 Studies in Poromechanics and Electro-Poromechanics 219046
81.049	DE-FG02-00ER54577	Multi-scale investigation of sheared flows in magnetize plasmas 219051 (Completed)
15.656	40181AJ015	Red Hills Salamander Burrow Occupancy at US Army Corps of Engineers Haines Island Park 219057 (Completed)
93.701	3R15ES015886-01A2S1	Xenoestrogen Regulation of Leydig Cells 219059 (Completed)
81.049	DE-FG02-00ER54610	TAS Recovery Act-MHD Equilibrium & Stability Studies of Current Carrying Plasmas in the Compact Toroidal Hybrid 219060/921916 (Completed)
11.557	01-42-B10580	PCC-Equipment and Training for Rural Alabama Public Computer Centers 219066-219067

20.205	STMTE-TE09(945) AUM Hwy 271 Beautification	AUM Highway 271 Beautification Project 350100 (Completed)
93.701	1R21AI076893-01A1	Characterizing the role of the 22 up-regulated genes in mosquito resistance 381030 (Completed)
47.082	SBIR Phase 1 Award 0912233	Lucigen Corporation: Molecular Diagnostics and Biological Control of Disease in Farmed Channel Catfish 381031 (Completed)
47.082	0921271	Peer Stress in Preadolescence Psychological and Coping Responses 381032-33
10.769	0575-0015	Technical Assistance Sustainable Alternative Substrates for Nursery Crop Growers 381034 (Completed)
93.701	1RC1AA019293-01	Biopsychosocial Factors in Economically Disadvantaged Preschool Children's Adjustment 381035 (Completed)
10.687	10-JV-11260489-083	North Carolina-Forest Service Recovery Act Investments: A socioeconomic Assessment 381036 (Completed)
15.656	4018AJ026	Imperiled Fish Survey of Selected Sites in Alabama River and Associated Tributaries 381037 (Completed)
11.618	60NANB9D140	Advanced Science, Innovation and Commerce Building 921901
47.082	0963407	Next Generation Biological Engineering Research Through Renovation of Laboratories at Auburn University 921915

WILL NOT REPORT on FEDERAL REPORTING -NON-DELEGATED AWARDS /VENDORS

93.701	BWH-104867	Brigham and Women's Hospital, Inc.: Microfluid Systems for Screening Stem Cell Microenvironments 219042 (Completed)
vendor	ALDHR 832-FY10	Home Mentoring of family child care providers-increase professionalism and achieve accreditation (AU IS VENDOR) 219047 No reporting (Completed)
contract	NNX10RA23C	Sienna Low Temperature Packaging (AU is non-delegated sub-recipient under Sienna) Contract, not grant 219048 (Completed)
93.701	3R01Ai076893-01A1	National Univ of Health Science-Z Joint Cavitation 219049 (Completed)
20.509	K-10-0188 AL DOT	Rural Transit Assistance Program-Alabama ALDOT 219050 (Completed)
93.701	3U19 AT004137-03-S1 PCC-RAJU-10	Developmental Center to Study Mechanisms & Effects of Chiropractic Manipulation 219052-Palmer (Completed)
81.087	400041371	300C electronics packaging of measure while drilling sensor systems-non-delegated sub award from GE Global 219053 (Completed)
vendor	C00870035	Alabama Dept of Rehabilitation-Division of Early Intervention – AU institute for Disability Research (AU IS VENDOR) No reporting 219054 (Completed)
vendor	AE0087MS24	Alabama Dept of Rehabilitation (AU IS VENDOR) No reporting 219055 (Completed)
66.458	95424009 C00594051	ADEM-Tallapoosa River Basin Numerical Criteria for Wade-able Streams 219056 (Completed)
Contract 84.027	IDEA Part B	City of Opelika Contract for Applied Behavior Analysis Service. AU in vendor. Opelika City Schools will be reporting ARRA spending. 219058 (Completed)
Vendor	Eval EETT Grants	UA is under contract to review LEAs EETT Grant (misc) 219061
15.656	40181AJ046	Conduct Inventories for Federal Listed Plant Taxa 219062 (do not report due to amount below threshold per DOI) (Completed)
Vendor	C10870035	AL DRS – Alabama Rehab will report 219063 & 219072 (Completed)

93.718	90RC0055 10-100146-05	Health Information Technology Alabama Regional Extension Center; University of South Alabama award (Non-delegated sub-recipient) 219064 (Cancelled)
20.509	K-11-0307	Rural Transit Assistance Program-Alabama DOT (Non-delegated sub-recipient) 219068 (Completed)
Vendor	AL-DRS AE1087MS24	FY 2011 ADRS Community Rehabilitation Program 219069 (Completed)
81.RD	DE-AC04-94AL85000	Multichip Module Reliability Study –Sandia National Lab (Auburn is Non-delegated sub-recipient) 219070 (Completed)
20.509	ALDOT K 2011	2011 Rural Transit Assistance Program (Non-delegated Sub-recipient) 219071 (Completed)
93.715	1R21HA019445-01 AU 203-1539	Duke University- The Continuity of Medication Management Study (Non-delegated sub-recipient) 219073 (Completed)
47.082	UAH-2012-170	University of Alabama at Huntsville – Alabama Cyber Connection in Nanotechnology, Bioscience & Sensors (Non-delegated sub-recipient) 219074 (Completed)
20.509	K-13-0542	Rural Transit Assistance Program – Technology Transfer for Local Transportation Agencies in Alabama (Non-delegated sub-recipient) 219075
10.315	H001344205	National TAAF Training Coordination Center; University of Minnesota Award (Non-delegated sub-recipient) 381038 (Completed)
81.041	1070408	Develop BioMass Feasibility Study for the Mississippi Development Authority (Auburn is a non-delegated subrecipient of Tetra Tech, Inc) 381039 (Completed)
10.315	H001344217	National TAAF Training Coordination Center; University of Minnesota Award (Non-delegated sub-recipient) 382030 (Completed)
Vendor	Univ Minn PSC	Concept for Additions to the TAA Program (PSC Service Contract) 382031-382032 (Completed)
11.557	BB-SBA-002-10 & 01-43-B10577 (prime US Dept of Commerce)	Connecting Alabama: Boosting Broadband to Bridge the Digital Divide (National University of Health – AU is a non-delegated sub-recipient) 382033
Vendor	H390A090092 & H393A090026	Alabama DHR (AUM IS VENDOR) 350102-350103 (Completed)
Vendor	9020015322	City of Montgomery Center for Government (AU is vendor under City of Montgomery) 350104 (Completed)
Vendor	H390A090082	Alabama DHR (AUM is Vendor) 350105 (Completed)
Vendor	GM0350106	ADRS Blind Services Strategic Planning 84-390A 350106 (Completed)
47.082	D003361601	Caenorhabditis elegans Garnete Interactions During Fertilization; University of Minnesota (Non-delegated sub-recipient)

Recovery program/grant(s)

John Mason
Signature of Certifying Official

John M. Mason, Jr.
Printed Name of Certifying Official

Vice President for Research
Title of Certifying Official

Auburn University
Name of Entity

October 10, 2013
Date