

# Secant Varieties and Equations of Abo-Wan Hypersurfaces



Luke Oeding    Auburn University

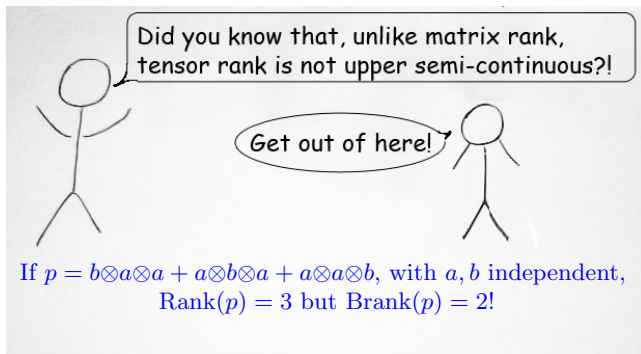
# Secant varieties

Suppose  $X$  is an algebraic variety in  $\mathbb{P}^N$ .

The  $X$ -rank of  $[p] \in \mathbb{P}^N$  is the min.  $r$  such that  $p = \sum_{i=1}^r x_i$  with  $[x_i] \in X$ .

The Zariski closure of the points of  $X$ -rank  $r$  is the  $r$ -secant variety to  $X$ , denoted  $\sigma_r(X)$ , and consists of the points in  $\mathbb{P}^N$  of  $X$ -border rank  $r$ .

Taking the Zariski closure often causes problems.



# Secant varieties and tensors

Let  $A = \{a_i\}, B = \{b_j\}, C = \{c_k\}$ , be  $\mathbb{C}$ -vector spaces, then the tensor product  $A \otimes B \otimes C$  has basis elements of the form  $a_i \otimes b_j \otimes c_k$ , with coordinates  $p_{ijk}$ .

- **Segre variety** (rank 1 tensors): (Independence model) Defined by

$$\begin{aligned} \text{Seg} : \mathbb{P}A \times \mathbb{P}B \times \mathbb{P}C &\longrightarrow \mathbb{P}(A \otimes B \otimes C) \\ ([u], [v], [w]) &\longmapsto [u \otimes v \otimes w]. \end{aligned}$$

In coordinates:  $p_{i,j,k} = u_i v_j w_k$ .

- The  $r^{\text{th}}$  **secant variety** of a variety  $X \subset \mathbb{P}^n$ : (Mixture model)

$$\sigma_r(X) := \overline{\bigcup_{x_1, \dots, x_r \in X} \mathbb{P}(\text{span}\{x_1, \dots, x_r\})} \subset \mathbb{P}^n.$$

General points of  $\sigma_r(\text{Seg}(\mathbb{P}A \times \mathbb{P}B \times \mathbb{P}C))$  have the form  $[\sum_{s=1}^r u^s \otimes v^s \otimes w^s]$ , or in coordinates:  $p_{i,j,k} = \sum_{s=1}^r u_i^s v_j^s w_k^s$ .

(\*Might also work over  $\mathbb{R}$  or  $\Delta$ -probability simplex, but not today.)

# Some Applications of Secant Varieties

- Classical Algebraic Geometry: When can a given projective variety  $X \subset \mathbb{P}^n$  be isomorphically projected into  $\mathbb{P}^{n-1}$ ?

Determined by the **dimension** of the secant variety  $\sigma_2(X)$ .

- Algebraic Complexity Theory: Bound the border rank of algorithms via equations of secant varieties. [Berkeley-Simons program Fall'14](#)

- Algebraic Statistics and Phylogenetics:

Given contingency tables for DNA of several species, determine the correct statistical model for their evolution.

Find invariants (**equations**) of mixture models (secant varieties).

For star trees / bifurcating trees this is [the salmon conjecture](#).

- Signal Processing: Blind identification of under-determined mixtures, analogous to CDMA technology for cell phones.

A given signal is the sum of many signals, one for each user.

Decompose the signal **uniquely** to recover each user's signal.

- Computer Vision, Neuroscience, Quantum Information Theory, Chemistry...

# First questions for secant varieties

Given  $X \subset \mathbb{P}^N$  we ask:

- 1 [Dimensions] What is the dimension of  $\sigma_r(X)$ ?
  - When does  $\sigma_r(X)$  fill the ambient  $\mathbb{P}^N$ ? (defectivity)
- 2 [Equations] What are the polynomial defining equations of  $\sigma_r(X)$ ?
- 3 [Generic Identifiability] For *generic*  $x \in \mathbb{P}^N$ , does  $x$  have a unique expression as a sum of points from  $X$ ? (ignoring trivialities)
- 4 [Decomposition] For my favorite  $x \in \mathbb{P}^N$ , can you find an expression of  $x$  as a sum of points from  $X$ ?

Sometimes  $2 \Rightarrow 1 \Rightarrow 3 \Rightarrow 4$

# Tensors with different types of symmetry

Suppose  $A, B, C$  are vector spaces over  $\mathbb{C}$ . Hypermatrices, symmetric, partially symmetric, skew-symmetric, and partially skew-symmetric tensors:

Space	Hypermatrix	Symmetry
$A \otimes B \otimes C$	$(T_{i,j,k})$	
$S^d A$	$(T_{i_1, \dots, i_d})$	$T_{i_1, \dots, i_d} = T_{\sigma(i_1), \dots, \sigma(i_d)}$ for all $\sigma \in \mathfrak{S}_d$
$A \otimes S^2 B$	$(T_{i,j,k})$	$T_{i,j,k} = T_{i,k,j}$ for all $i, j, k$
$\bigwedge^{k+1} A$	$(T_{i_0, \dots, i_k})$	$T_{i_0, \dots, i_k} = \text{sgn}(\sigma) T_{\sigma(i_0), \dots, \sigma(i_k)}$ for all $\sigma \in \mathfrak{S}_{k+1}$
$A \otimes \bigwedge^{k+1} B$	$(T_{i, j_0, \dots, j_k})$	$T_{i, j_0, \dots, j_k} = \text{sgn}(\sigma) T_{i, \sigma(j_0), \dots, \sigma(j_k)}$ for all $\sigma \in \mathfrak{S}_{k+1}$

## Partially skew-symmetric tensors (in coordinates)

Choose bases  $u_1, \dots, u_m$  of  $A \cong \mathbb{C}^m$  and  $v_1, \dots, v_n$  of  $B \cong \mathbb{C}^n$ .  
In coordinates  $T \in A \otimes \wedge^2 B$  is

$$T = \sum_{i,j,k} T_{ijk} u_i \otimes v_j \otimes v_k$$

with symmetry:  $T_{ijk} = -T_{ikj}$ .

Collect terms:

$$T = \sum_i u_i \otimes \sum_{j,k} T_{ijk} v_j \otimes v_k = \sum_i u_i \otimes T_i$$

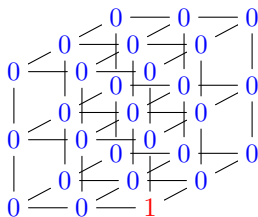
with  $T_i \in \wedge^2 B$ ,  $T$  is a collection of  $m$  skew-symmetric  $n \times n$  matrices.

# Tensors and examples of classical algebraic varieties

Consider each symmetry type and the (classical) variety of “rank-1” tensors:

Name	Notation	Points	Ambient Space
Segre	$\text{Seg}(\mathbb{P}A \times \mathbb{P}B \times \mathbb{P}C)$	$[a \otimes b \otimes c]$	$\mathbb{P}(A \otimes B \otimes C)$
Veronese	$\nu_d(\mathbb{P}A)$	$[a \otimes \cdots \otimes a]$	$\mathbb{P}(S^d A)$
Segre-Veronese	$\text{Seg}(\mathbb{P}A \times \nu_2(\mathbb{P}V))$	$[a \otimes b \otimes b]$	$\mathbb{P}(A \otimes S^2 B)$
Grassmannian	$\mathbb{G}(k, A)$	$[a_0 \wedge \cdots \wedge a_k]$	$\mathbb{P}(\wedge^{k+1} A)$
Segre-Grassmann	$\text{Seg}(\mathbb{P}A \times \mathbb{G}(k, B))$	$[a \otimes (b_0 \wedge \cdots \wedge b_k)]$	$\mathbb{P}(A \otimes \wedge^{k+1} B)$

In each case a rank 1 tensor can be put in the form (in an appropriate basis):





# How to email the Segre-Grassmann $\text{Seg}(\mathbb{P}^A \times \mathbb{G}(k, B))$

---

`KK = QQ;`

`makeRank1 = (m,k,n)->(`

`E = random(KK^(m+1),KK^(n+1));`

`e = gens minors(k+1,E);`

`v = random(KK^(m+1),KK^1);`

`matrix {flatten entries(v*e)}`

`)`

`makeRank1(2,2,5) -- a point on  $\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2,5))$`

`sum(5,i->makeRank1(2,2,5)) -- a point on  $\text{sig}_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2,5)))$`

---

(In practice I'm more careful to name the coordinates and avoid collisions.)

Let  $v = (v_0, \dots, v_m)$ , and let  $E = (e_{i,j})$  be a  $(k+1) \times (n+1)$  matrix.

Get a  $(m+1) \times \binom{n+1}{k+1}$  vector for a point on  $\text{Seg}(\mathbb{P}^m \times \mathbb{G}(k, n))$  as

$$(v_i \cdot \Delta_I(E))_{i,I},$$

where  $\Delta_I$  – maximal minor of  $E$  with columns  $I = (i_1, \dots, i_{k+1})$ .

Pseudo-random points on  $\sigma_r(\text{Seg}(\mathbb{P}^m \times \mathbb{G}(k, n)))$ : let  $v$  and  $E$  have random entries, and summing  $r$  pseudorandom points of  $\text{Seg}(\mathbb{P}^m \times \mathbb{G}(k, n))$ .

## What is a flattening?

Express a tensor  $T = \sum_{i,j,k} p_{ijk} a_i \otimes b_j \otimes c_k \in A \otimes B \otimes C$  as a matrix (in 3 ways):

$$\begin{aligned} T &= \sum_i a_i \otimes \left( \sum_{j,k} p_{ijk} b_j \otimes c_k \right) \in A \otimes (B \otimes C), \\ T &= \sum_j b_j \otimes \left( \sum_{i,k} p_{ijk} a_i \otimes c_k \right) \in B \otimes (A \otimes C), \\ T &= \sum_k \left( \sum_{i,j} p_{ijk} a_i \otimes b_j \right) \otimes c_k \in (A \otimes B) \otimes C. \end{aligned}$$

Example:  $T = [p_{ijk}] \in \mathbb{C}^3 \otimes \mathbb{C}^3 \otimes \mathbb{C}^3$  to  $\mathbb{C}^3 \otimes (\mathbb{C}^3 \otimes \mathbb{C}^3) \cong \mathbb{C}^3 \otimes \mathbb{C}^9$  flattens to:

$$\psi_{0,T} = \left( \begin{array}{ccc|ccc|ccc} p_{111} & p_{121} & p_{131} & p_{112} & p_{122} & p_{132} & p_{113} & p_{123} & p_{133} \\ p_{211} & p_{221} & p_{231} & p_{212} & p_{222} & p_{232} & p_{213} & p_{223} & p_{233} \\ p_{311} & p_{321} & p_{331} & p_{312} & p_{322} & p_{332} & p_{313} & p_{323} & p_{333} \end{array} \right)$$

When they exist,  $(r+1) \times (r+1)$  minors of  $\psi_{0,T}$  are (some) equations of  $\sigma_r(\mathbb{P}A \times \mathbb{P}B \times \mathbb{P}C)$ .

## Equations from flattenings

Realize  $T \in A \otimes B \otimes C = A \otimes (B \otimes C)$  as a **linear map** (a matrix)

$$\psi_{0,T}: A^* \xrightarrow{(T_1 \ T_2 \ \dots \ T_l)^t} B \otimes C$$

Rank 1 case: Let  $T_1 = \begin{pmatrix} 1 & \dots & 0 \\ & \ddots & \\ 0 & & \dots & 0 \end{pmatrix}$ ,  $T_i = \begin{pmatrix} 0 & \dots & 0 \\ & \ddots & \\ 0 & & \dots & 0 \end{pmatrix}$ ,  $i = 2 \dots m$

$$\psi_{0,T} = \begin{pmatrix} 1 & \dots & 0 & 0 & \dots & 0 & \dots & 0 \\ & \ddots & & & \ddots & & & \\ 0 & & \dots & 0 & 0 & \dots & 0 & \dots & 0 \end{pmatrix}^t$$

If  $\text{Rank}(T) = 1$ , then  $\text{Rank}(\psi_{0,T}) = 1$ . **Construction is linear in  $T$ :**

$$\psi_{0,T} + \psi_{0,T'} = \psi_{0,T+T'}$$

$$\begin{pmatrix} T_1 \\ T_2 \\ \vdots \\ T_l \end{pmatrix} + \begin{pmatrix} T'_1 \\ T'_2 \\ \vdots \\ T'_l \end{pmatrix} = \begin{pmatrix} T_1+T'_1 \\ T_2+T'_2 \\ \vdots \\ T_l+T'_l \end{pmatrix}$$

$\text{Rank}(\psi_{0,T}) + \text{Rank}(\psi_{0,T'}) \leq \text{Rank}(\psi_{0,T} + \psi_{0,T'}) = \text{Rank}(\psi_{0,T+T'})$

By **subadditivity of rank**, if  $\text{Rank}(T) = r$ , then  $\text{Rank}(\psi_{0,T}) \leq r$ .

The  $(r+1) \times (r+1)$  minors of  $\psi_{0,T}$  are **necessary** conditions for  $\text{Rank}(T) \leq r$ .

However, flattenings are trivial when  $\text{Rank}(T) > \min\{\dim A, \dim B, \dim C\}$ .

# Symmetric Flattenings

Consider  $\phi \in \text{Sym}^d V$  as a symmetric multilinear form:

$\phi$  eats  $d$  vectors (symmetrically) and spits out a number.

If we only feed  $s$  vectors to  $\phi$ , it still wants to eat  $d - s$  more.

So we can construct a linear map

$$\begin{aligned}\phi_{s,d-s} : \text{Sym}^s(V^*) &\rightarrow \text{Sym}^{d-s} V \\ [v_1, \dots, v_s] &\mapsto \phi(v_1, \dots, v_s, \_, \dots, \_)\end{aligned}$$

Macaulay (1916) showed that  $\text{Brank } \phi \geq \text{Rank } \phi_{s,d-s}$  for all  $1 \leq s \leq d$ .

The minors of  $\phi_{s,d-s}$  are called minors of *Catalecticants*.

(Also called *symmetric flattenings* or *special Hankel matrices*).

Give some equations for the secant varieties to Veronese varieties.

# Flattenings and the Segre variety

Classical: the ideal of any Segre is generated by all  $2 \times 2$  minors of flattenings.

Theorem (Raicu (2012), (Garcia, Stillman and Sturmfels Conj.))

*The prime ideal of  $\sigma_2(\text{Seg}(\mathbb{P}V_1 \times \cdots \times \mathbb{P}V_n))$  is generated by the  $3 \times 3$  minors of flattenings.*

Built on work of Landsberg-Manivel, Landsberg-Weyman, Geramita et al., Allman-Rhodes.

Raicu also proved the stronger analogous result for the secant variety of any Segre-Veronese.

[Michalek-O.-Zwiernik (2014)] gave a toric proof of the scheme-theoretic version that works in any characteristic using “secant cumulants.”

Flattenings run out quickly:  $\sigma_3(\text{Seg}(\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2))$  has no equations from flattenings since there are no  $4 \times 4$  minors of  $3 \times 9$ , ([Strassen’83, Y. Qi’14].)

## Exterior flattenings

Suppose  $T \in A \otimes B \otimes C$ . Have a natural inclusion  $A \subset \bigwedge^2 A \otimes A^*$ .

Construct a new linear map via the inclusion

$$A \otimes B \otimes C \subset \bigwedge^2 A \otimes A^* \otimes B \otimes C = (A^* \otimes B) \otimes (\bigwedge^2 A \otimes C).$$

Fix  $\dim(A) = 3$ . With  $T = \sum_i u_i \otimes T_i$ , we choose a good basis and write

$$\psi_{1,T}: A \otimes B^* \xrightarrow{\begin{pmatrix} 0 & T_3 & -T_2 \\ -T_3 & 0 & T_1 \\ T_2 & -T_1 & 0 \end{pmatrix}} \bigwedge^2 A \otimes C.$$

Basic idea:

$$\psi_{1,T+T'} = \psi_T + \psi_{T'} \quad \text{construction is linear in } T$$

$$\text{Rank}(T) = 1 \Rightarrow \text{Rank}(\psi_T) = 2 \quad \text{base case}$$

$$\therefore \text{Rank}(T) = r \Rightarrow \text{Rank}(\psi_T) \leq 2r \quad \text{subadditivity of matrix rank}$$

The  $(2r+1) \times (2r+1)$  minors of  $\psi_{1,T}$  are **necessary** conditions for  $\text{Brank}(T) \leq r$ .

## Exterior Flattenings: $\text{Rank}(T) = 1 \Rightarrow \text{Rank } \psi_{1,T} = 2$

Let  $T_1 = \begin{pmatrix} 1 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix}$ ,  $T_i = \begin{pmatrix} 0 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix}$ ,  $i = 2..m$ .

$$\psi_{1,T} = \begin{pmatrix} 0 & T_3 & -T_2 \\ -T_3 & 0 & T_1 \\ T_2 & -T_1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & \begin{pmatrix} 0 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} & \begin{pmatrix} 0 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} & 0 & \begin{pmatrix} 0 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} \\ \begin{pmatrix} 0 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} & \begin{pmatrix} -1 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} & \begin{pmatrix} 1 & \cdots & 0 \\ & \ddots & \\ 0 & & 0 \end{pmatrix} \\ & & 0 \end{pmatrix},$$

Result is invariant under natural changes of coordinates.

## Exterior flattenings (partially symmetric case)

Have natural inclusions  $A \subset \bigwedge^2 A \otimes A^*$ ,  $S^2 B \subset B \otimes B$ .

Construct a new linear map via the inclusion  $A \otimes S^2 B \subset (B \otimes A^*) \otimes (B \otimes \bigwedge^2 A)$ .

Fix  $m = 3$ . With  $T = \sum_i u_i \otimes T_i$ , we choose a good basis and write

$$\psi_{1,T}: B^* \otimes A \xrightarrow{\begin{pmatrix} 0 & T_3 & -T_2 \\ -T_3 & 0 & T_1 \\ T_2 & -T_1 & 0 \end{pmatrix}} B \otimes \bigwedge^2 A.$$

Note  $T_i \in S^2 B \Rightarrow \psi_{1,T}$  is **skew-symmetric**  $\Rightarrow$  rank is **even**.

Construction is linear in  $T$ :

$$\begin{aligned} \psi_{1,T} + \psi_{1,T'} &= \psi_{1,T+T'} \\ \begin{pmatrix} 0 & T_3 & -T_2 \\ -T_3 & 0 & T_1 \\ T_2 & -T_1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & T'_3 & -T'_2 \\ -T'_3 & 0 & T'_1 \\ T'_2 & -T'_1 & 0 \end{pmatrix} &= \begin{pmatrix} 0 & T_3+T'_3 & -T_2-T'_2 \\ -T_3-T'_3 & 0 & T_1+T'_1 \\ T_2+T'_2 & -T_1-T'_1 & 0 \end{pmatrix} \end{aligned}$$

$2(r+1) \times 2(r+1)$  **Pfaffians** of  $\psi_{1,T}$  are **necessary** conditions for  $\text{Brank}(T) \leq r$ .



## Exterior flattenings more generally

Include  $A \otimes B \otimes C \subset (B \otimes \wedge^j A^*) \otimes (C \otimes \wedge^{j+1} A)$ .

Example  $\dim(A) = l = 4$ : Let  $T = \sum_{i=1}^4 u_i \otimes T_i$ . In suitable coordinates:

$$\begin{aligned}\psi_{0,T}: B^* \otimes \wedge^0 A^* &\xrightarrow{(T_1 \ T_2 \ T_3 \ T_4)^t} C \otimes \wedge^1 A, \\ \psi_{1,T}: B^* \otimes \wedge^1 A^* &\xrightarrow{\begin{pmatrix} 0 & T_3 & -T_2 & 0 \\ -T_3 & 0 & T_1 & 0 \\ T_2 & -T_1 & 0 & 0 \\ T_4 & 0 & 0 & -T_1 \\ 0 & T_4 & 0 & -T_2 \\ 0 & 0 & T_4 & -T_3 \end{pmatrix}} C \otimes \wedge^2 A, \\ \psi_{2,T}: B^* \otimes \wedge^2 A^* &\xrightarrow{\begin{pmatrix} -T_4 & 0 & 0 & 0 & T_3 & -T_2 \\ 0 & -T_4 & 0 & -T_3 & 0 & T_1 \\ 0 & 0 & -T_4 & T_2 & -T_1 & 0 \\ T_1 & T_2 & T_3 & 0 & 0 & 0 \end{pmatrix}} C \otimes \wedge^3 A, \\ \psi_{3,T}: B^* \otimes \wedge^3 A^* &\xrightarrow{(T_1 \ T_2 \ T_3 \ T_4)} C \otimes \wedge^4 A.\end{aligned}$$

In general one finds  $\text{Rank}(T) \leq r \Rightarrow \text{Rank}(\psi_{j,T}) \leq r \binom{l-1}{j}$ .

We want to know when the **necessary** conditions are also **sufficient**.

# Subspace varieties

## Definition

The *subspace variety*  $\text{Sub}_{p,q,r}(A \otimes B \otimes C)$  is the variety of tensors  $[T] \in \mathbb{P}(A \otimes B \otimes C)$  such that there exist subspaces  $\mathbb{C}^p \subseteq A, \mathbb{C}^q \subseteq B, \mathbb{C}^r \subseteq C$ , and  $[T] \in \mathbb{P}(\mathbb{C}^p \otimes \mathbb{C}^q \otimes \mathbb{C}^r)$ .

## Theorem (Thm. 3.1, Landsberg–Weyman '07)

$\text{Sub}_{p,q,r}(A \otimes B \otimes C)$  is normal with rational singularities. Its ideal is generated by the minors of flattenings;

$$\begin{aligned} & \left( \bigwedge^{p+1} A^* \otimes \bigwedge^{p+1} (B^* \otimes C^*) \right) \oplus \left( \bigwedge^{q+1} B^* \otimes \bigwedge^{q+1} (A^* \otimes C^*) \right) \\ & \oplus \left( \bigwedge^{r+1} (A^* \otimes B^*) \otimes \bigwedge^{r+1} C^* \right) \end{aligned}$$

Key Point:  $\text{Sub}_{r,r,r} \supseteq \sigma_r(\mathbb{P}A \times \mathbb{P}B \times \mathbb{P}C)$  and therefore get some (determinantal) equations of the secant varieties.

Note: [C. Raicu](#) has recently proved that for **any** Segre-Veronese variety  $X$ , and  $r \leq 2$ , the ideal  $I(\sigma_2(X))$  is generated by  $3 \times 3$  minors of flattenings.

# Partially symmetric subspace and secant varieties

## Definition

The *subspace variety*  $\text{Sub}_{p,q}(A \otimes S^2 B)$  is the variety of tensors  $[T] \in \mathbb{P}(A \otimes S^2 B)$  such that there exist subspaces  $\mathbb{C}^p \subseteq A$ ,  $\mathbb{C}^q \subseteq B$ , and  $[T] \in \mathbb{P}(\mathbb{C}^p \otimes S^2 \mathbb{C}^q)$ .

$T \in \text{Sub}_{p,q}(A \otimes S^2 B)$  implies that after changing coordinates,

$$\psi_{0,T} = (T'_1 \ T'_2 \ \cdots \ T'_m, \ 0 \ \cdots \ 0)^t,$$

with  $T'_i = \begin{pmatrix} B_i & 0 \\ 0 & 0 \end{pmatrix}$  and  $B_i$  a symmetric  $q \times q$  matrix.

## Proposition (Cartwright-Erman-O. (2012))

The defining ideal of  $\text{Sub}_{p,q}(A \otimes S^2 B)$  is generated by the  $(p+1) \times (p+1)$  minors of the flattening  $B^* \rightarrow A \otimes B$  and the  $(q+1) \times (q+1)$  minors of the flattening  $A^* \rightarrow S^2 B$ .

## Theorem (Cartwright-Erman-O. (2012))

For  $r \leq 5$ , the ideal of  $\sigma_r(\text{Seg}(\mathbb{P}^2 \times \nu_2(\mathbb{P}B)))$  is generated by  $(r+1) \times (r+1)$  *minors* of flattenings, and  $(2r+2) \times (2r+2)$  *Pfaffians* of exterior flattenings.

# Skew-symmetric subspace varieties

## Definition

The *subspace variety*  $\text{Sub}_p(\Lambda^{k+1}A)$  is the variety of tensors  $[T] \in \mathbb{P}(\Lambda^{k+1}A)$  such that there exist a subspace  $\mathbb{C}^p \subseteq A$ , and  $[T] \in \mathbb{P}(\Lambda^{k+1}\mathbb{C}^p)$ .

The equations of this variety are mysterious when  $k \geq 2$ :

## Proposition (Boralevi-O.(2012)<sup>1</sup>)

The ideal of the subspace variety  $\text{Sub}_5(\Lambda^3\mathbb{C}^7) \subset \mathbb{P}^{34}$  is generated in degree 3 by the  $GL_7$ -modules  $S_{(3,1,1,1,1,1,1)}\mathbb{C}^7$  (28 cubics) and  $S_{(2,2,2,1,1,1)}\mathbb{C}^7$  (224 cubics).

The space of 28 cubics is vector space isomorphic to quadrics on 7 variables. The space of 224 cubics is inherited from a space of 20 cubics on 6 variables, which is vector space isomorphic the span of the  $3 \times 3$  minors of a  $3 \times 6$  matrix.

Used Weyman's "Geometric Technique," Representation Theory, Bott's algorithm, and careful combinatorial book-keeping.

<sup>1</sup> Appeared in [J.M. Landsberg, Tensors: Geometry and Applications, (p405-407), AMS GSM, vol. 128, (2012)].

# Equations of secant varieties via more general flattenings

- **Symmetric:** Aronhold's invariant (1849):  $\sigma_3(\nu_3(\mathbb{P}^2)) \subsetneq \mathbb{P}^9$ .
- **Partially symmetric:** Toeplitz (1877):  $\sigma_5(\mathbb{P}^2 \times \nu_2(\mathbb{P}^3)) \subsetneq \mathbb{P}^{29}$ .  
Cartwright-Erman-O. (2012):  $\sigma_r(\mathbb{P}^2 \times \nu_2(\mathbb{P}^n)) \subsetneq \mathbb{P}^{3\binom{n+2}{2}-1}$ ,  $r \leq 5$ .
- **Partially skew-symmetric:** Abo-Wan (2013):  
 $\sigma_{3\ell}(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1, 4\ell + 2))) \subsetneq \mathbb{P}^{3\binom{4\ell+3}{2}-1}$ .
- **Unrestricted:** Strassen (1983):  $\sigma_4(\mathbb{P}^2 \times \mathbb{P}^2 \times \mathbb{P}^2) \subsetneq \mathbb{P}^{26}$
- **Ubiquitous:** Ottaviani (2007) united and generalized all of these equations with a uniform construction we call **exterior flattenings**.
- Landsberg-Ottaviani (2012): Many more cases, much more general.

# Abo-Wan Hypersurfaces: $\sigma_{3\ell+2}(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1, 4\ell + 2)))$

Consider  $\sigma_5(\mathbb{P}^2 \times \mathbb{G}(1, 6)) \subset (\mathbb{P}\mathbb{C}^3 \otimes \wedge^2 \mathbb{C}^7) = \mathbb{P}(V \otimes \wedge^2 W)$ .

View  $T \in V \otimes \wedge^2 W$  as an element in  $\wedge^2 V^* \otimes \wedge^2 W$ , which induces

$$\varphi_T: V \otimes W^* \rightarrow V^* \otimes W,$$

Explicitly  $\varphi_T$  is the  $(21 \times 21)$  Kronecker product of two matrices:

$$\varphi_T = \begin{pmatrix} 0 & v_1 & -v_2 \\ -v_1 & 0 & v_3 \\ v_2 & -v_3 & 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & e_{12} & e_{13} & e_{14} & e_{15} & e_{16} & e_{17} \\ -e_{12} & 0 & e_{23} & e_{24} & e_{25} & e_{26} & e_{27} \\ -e_{13} & -e_{23} & 0 & e_{34} & e_{35} & e_{36} & e_{37} \\ -e_{14} & -e_{24} & -e_{34} & 0 & e_{45} & e_{46} & e_{47} \\ -e_{15} & -e_{25} & -e_{35} & -e_{45} & 0 & e_{56} & e_{57} \\ -e_{16} & -e_{26} & -e_{36} & -e_{46} & -e_{56} & 0 & e_{67} \\ -e_{17} & -e_{27} & -e_{37} & -e_{47} & -e_{57} & -e_{67} & 0 \end{pmatrix}$$

Replace  $v_i \otimes e_{jk}$  with  $x_{ijk}$  we obtain the matrix  $\varphi_T =$  (too big for screen).

Check:  $\text{Rank}(T) = 1 \Rightarrow \text{Rank } \varphi_T = 4$  so  $\text{Rank}(T) = r \Rightarrow \text{Rank } \varphi_T \leq 4r$ .

In particular if  $\text{Rank } T = 5$  then  $\text{Rank } \varphi_T \leq 20$ , so  $\det \varphi_T$  vanishes.

Check:  $\text{Rank}(\varphi_T) = 21$  for random  $T$ , so  $\det \varphi_T$  is non-trivial.

$\det \varphi_T$  is an equation of  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1, 6)))$ . (Verified in Macaulay 2.)

## How to email an exterior flattening

```
KK = ZZ/101
```

```
T = KK[v1, v2, v3]**KK[w12, w13, w14, w15, w16, w17, w23, w24, w25,
```

```
Mv = matrix {{0, v1, -v2}, {-v1, 0, v3}, {v2, -v3, 0}}
```

```
Mw = matrix {{ 0, w12, w13, w14, w15, w16, w17},  
             {-w12, 0, w23, w24, w25, w26, w27},  
             {-w13, -w23, 0, w34, w35, w36, w37},  
             {-w14, -w24, -w34, 0, w45, w46, w47},  
             {-w15, -w25, -w35, -w45, 0, w56, w57},  
             {-w16, -w26, -w36, -w46, -w56, 0, w67},  
             {-w17, -w27, -w37, -w47, -w57, -w67, 0}}
```

```
K = Mw**Mv
```

```
for i from 1 to 6 do print(i, rank diff(K, sum(i, j-> makeRank1())))
```

```
R = KK[x112, x212, x312, x113, x213, x313, x114, x214, x314, x115, x215, x315]
```

```
P = T**R
```

```
vwT0x = v1*w12*x112 + v1*w13*x113 + v1*w14*x114 + v1*w15*x115 + v1*w16*x116 + v1*w17*x117
```

```
myMat = diff(sub(K,P),vwT0x)
```

```
mypoly = det(myMat, Strategy => Cofactor);
```

# Kronecker product

0	0	0	0	$x_{112}$	$-x_{212}$	0	$x_{113}$	$-x_{213}$	0	$x_{114}$	$-x_{214}$	0	$x_{115}$	$-x_{215}$
0	0	0	$-x_{112}$	0	$x_{312}$	$-x_{113}$	0	$x_{313}$	$-x_{114}$	0	$x_{314}$	$-x_{115}$	0	$x_{315}$
0	0	0	$x_{212}$	$-x_{312}$	0	$x_{213}$	$-x_{313}$	0	$x_{214}$	$-x_{314}$	0	$x_{215}$	$-x_{315}$	0
0	$-x_{112}$	$x_{212}$	0	0	0	0	$x_{123}$	$-x_{223}$	0	$x_{124}$	$-x_{224}$	0	$x_{125}$	$-x_{225}$
$x_{112}$	0	$-x_{312}$	0	0	0	$-x_{123}$	0	$x_{323}$	$-x_{124}$	0	$x_{324}$	$-x_{125}$	0	$x_{325}$
$-x_{212}$	$x_{312}$	0	0	0	0	$x_{223}$	$-x_{323}$	0	$x_{224}$	$-x_{324}$	0	$x_{225}$	$-x_{325}$	0
0	$-x_{113}$	$x_{213}$	0	$-x_{123}$	$x_{223}$	0	0	0	0	$x_{134}$	$-x_{234}$	0	$x_{135}$	$-x_{235}$
$x_{113}$	0	$-x_{313}$	$x_{123}$	0	$-x_{323}$	0	0	0	$-x_{134}$	0	$x_{334}$	$-x_{135}$	0	$x_{335}$
$-x_{213}$	$x_{313}$	0	$-x_{223}$	$x_{323}$	0	0	0	0	$x_{234}$	$-x_{334}$	0	$x_{235}$	$-x_{335}$	0
0	$-x_{114}$	$x_{214}$	0	$-x_{124}$	$x_{224}$	0	$-x_{134}$	$x_{234}$	0	0	0	0	$x_{145}$	$-x_{245}$
$x_{114}$	0	$-x_{314}$	$x_{124}$	0	$-x_{324}$	$x_{134}$	0	$-x_{334}$	0	0	0	$-x_{145}$	0	$x_{345}$
$-x_{214}$	$x_{314}$	0	$-x_{224}$	$x_{324}$	0	$-x_{234}$	$x_{334}$	0	0	0	0	$x_{245}$	$-x_{345}$	0
0	$-x_{115}$	$x_{215}$	0	$-x_{125}$	$x_{225}$	0	$-x_{135}$	$x_{235}$	0	$-x_{145}$	$x_{245}$	0	0	0
$x_{115}$	0	$-x_{315}$	$x_{125}$	0	$-x_{325}$	$x_{135}$	0	$-x_{335}$	$x_{145}$	0	$-x_{345}$	0	0	0
$-x_{215}$	$x_{315}$	0	$-x_{225}$	$x_{325}$	0	$-x_{235}$	$x_{335}$	0	$-x_{245}$	$x_{345}$	0	0	0	0
0	$-x_{116}$	$x_{216}$	0	$-x_{126}$	$x_{226}$	0	$-x_{136}$	$x_{236}$	0	$-x_{146}$	$x_{246}$	0	$-x_{156}$	$x_{256}$
$x_{116}$	0	$-x_{316}$	$x_{126}$	0	$-x_{326}$	$x_{136}$	0	$-x_{336}$	$x_{146}$	0	$-x_{346}$	$x_{156}$	0	$-x_{356}$
$-x_{216}$	$x_{316}$	0	$-x_{226}$	$x_{326}$	0	$-x_{236}$	$x_{336}$	0	$-x_{246}$	$x_{346}$	0	$-x_{256}$	$x_{356}$	0
0	$-x_{117}$	$x_{217}$	0	$-x_{127}$	$x_{227}$	0	$-x_{137}$	$x_{237}$	0	$-x_{147}$	$x_{247}$	0	$-x_{157}$	$x_{257}$
$x_{117}$	0	$-x_{317}$	$x_{127}$	0	$-x_{327}$	$x_{137}$	0	$-x_{337}$	$x_{147}$	0	$-x_{347}$	$x_{157}$	0	$-x_{357}$
$-x_{217}$	$x_{317}$	0	$-x_{227}$	$x_{327}$	0	$-x_{237}$	$x_{337}$	0	$-x_{247}$	$x_{347}$	0	$-x_{257}$	$x_{357}$	0



## Bertini team [N. Daleo & J. Hauenstein] to the rescue!

How do we know that the  $21 \times 21$  determinant  $\det \varphi_T$  is irreducible  
(and hence minimally defines the ideal of  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1,6)))$ )?

A computation in Bertini provides missing ingredient:

### Proposition\*

*The hypersurface  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1,6))) \subset \mathbb{P}^{62}$  has degree 21.*

We have an irreducible hypersurface of degree 21 inside of the zero-locus of a degree 21 equation!

### Proposition\*

*The hypersurface  $\sigma_8(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1,10))) \subset \mathbb{P}^{164}$  has degree 33.*

A similar  $33 \times 33$  exterior flattening provides the equation.

### Proposition\*

*The hypersurface  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2,5))) \subset \mathbb{P}^{59}$  has degree 6.*

**No  $6 \times 6$  flattening... So now what?**

## Find equations in the ideal

Consider  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2, 5))) \subset \mathbb{P}^{59}$ . Look for equations in  $R = \mathbb{C}[x_0, \dots, x_{59}]$ . The Hilbert function of  $R$  starts like this:

$d$	$=$	1	2	3	4	5	6	...
$HF_R(d)$	$=$	60	1830	37820	595665	7624512	82598880	...
$HF_{R/I}(d)$	$=$	0	0	0	0	0	82598879	...
$\dim(I_d)$	$=$	0	0	0	0	0	1	...

To find the space of linear forms in the ideal, compute:

```
M = matrix apply(60, i-> {makeRank5(2,2,5)} );  
rank M
```

Naively, to find the space of sextics in the ideal: compute 82598880 points on the variety, evaluate them on the 82598880 monomials of degree 6 and compute the kernel of the resulting  $82598880 \times 82598880$  matrix.

# Symmetry

- The symmetry group of

$$\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2, 5))) \subset \mathbb{P}^{59} = \mathbb{P}(A \otimes \wedge^2 B)$$

is change of coordinates in each factor,

$$GL(A) \times GL(B)$$

- A large group acts so we can use tools from Representation Theory!
- This symmetry is a powerful tool and we *should* exploit it!

# Find equations in the ideal using representation theory

- Module notation:  $S^d \left( A \otimes \wedge^2 B \right) = \mathbb{C}[p_{ijk} \mid p_{ijk} = -p_{ikj}]_d$ .
- Fact:  $S^d \left( A \otimes \wedge^2 B \right)$  is a  $GL(A) \times GL(B)$ -module.

The coordinate ring  $\mathbb{C}[A \otimes \wedge^2 B]$  has an isotypic decomposition:

$$\begin{aligned} \bigoplus_d \mathbb{C}[A \otimes \wedge^2 B]_d &= \bigoplus_d \left( \bigoplus_{\lambda \vdash d, \pi \vdash 2d} (S_\lambda A \otimes S_\pi B) \otimes \mathbb{C}^{m_{\lambda, \pi}} \right) \\ \cup & \\ \bigoplus_d \mathcal{I}_d(X) &= \bigoplus_d \left( \bigoplus_{\lambda \vdash d, \pi \vdash d} \mathcal{I}_d(X)_{\lambda, \pi} \right) \end{aligned}$$

- ▶  $S_\lambda A \otimes S_\pi B$ : Schur modules indexed by partitions  $\lambda$  and  $\pi$ ,
- ▶  $\mathbb{C}^{m_{\lambda, \pi}}$ : multiplicity space.
- Given  $\lambda, \pi$  and the multiplicity  $m_{\lambda, \pi}$ , there is a combinatorial algorithm for constructing polynomials!

( Modified version of Landsberg-Manivel'04 algorithm)

# Invariants via Young Symmetrizers

Use LiE: `sym_tensor(6, [1,0]^ [0,0,1,0,0], A2A5)`

$\Rightarrow$  only **one**  $SL(3) \times SL(6)$  invariant of degree 6 in  $\mathbb{C}[A \otimes \wedge^2 B]$ .

Start with partitions  $(2, 2, 2)$  and  $(3, 3, 3, 3, 3, 3)$  associated (respectively) to the trivial representations of  $GL(3)$  and  $GL(6)$  in degrees 6 and 18 respectively.

Find fillings:

$$\begin{array}{|c|c|} \hline a & c \\ \hline b & e \\ \hline d & f \\ \hline \end{array} \otimes \begin{array}{|c|c|c|} \hline a & b & c \\ \hline a & b & d \\ \hline a & d & e \\ \hline b & d & f \\ \hline c & e & f \\ \hline c & e & f \\ \hline \end{array}$$

Use fillings as input to the Young Symmetrizer algorithm.

# Invariants via Young Symmetrizers

Construct a generic polynomial (in auxiliary variables):

① To the filling  $\begin{array}{|c|c|} \hline a & c \\ \hline b & e \\ \hline d & f \\ \hline \end{array}$  associate  $p_V = \begin{vmatrix} a_1 & a_2 & a_3 \\ b_1 & b_2 & b_3 \\ d_1 & d_2 & d_3 \end{vmatrix} \cdot \begin{vmatrix} c_1 & c_2 & c_3 \\ e_1 & e_2 & e_3 \\ f_1 & f_2 & f_3 \end{vmatrix}$

② To the filling  $\begin{array}{|c|c|c|} \hline a & b & c \\ \hline a & b & d \\ \hline a & d & e \\ \hline b & d & f \\ \hline c & e & f \\ \hline c & e & f \\ \hline \end{array}$  associate  $p_W =$

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} & a_{14} & a_{15} & a_{16} \\ a_{21} & a_{22} & a_{23} & a_{24} & a_{25} & a_{26} \\ a_{31} & a_{32} & a_{33} & a_{34} & a_{35} & a_{36} \\ b_{31} & b_{32} & b_{33} & b_{34} & b_{35} & b_{36} \\ c_{21} & c_{22} & c_{23} & c_{24} & c_{25} & c_{26} \\ c_{31} & c_{32} & c_{33} & c_{34} & c_{35} & c_{36} \end{vmatrix} \cdot \begin{vmatrix} b_{11} & b_{12} & b_{13} & b_{14} & b_{15} & b_{16} \\ b_{21} & b_{22} & b_{23} & b_{24} & b_{25} & b_{26} \\ d_{21} & d_{22} & d_{23} & d_{24} & d_{25} & d_{26} \\ d_{31} & d_{32} & d_{33} & d_{34} & d_{35} & d_{36} \\ e_{21} & e_{22} & e_{23} & e_{24} & e_{25} & e_{26} \\ e_{31} & e_{32} & e_{33} & e_{34} & e_{35} & e_{36} \end{vmatrix} \cdot \begin{vmatrix} c_{11} & c_{12} & c_{13} & c_{14} & c_{15} & c_{16} \\ d_{11} & d_{12} & d_{13} & d_{14} & d_{15} & d_{16} \\ e_{11} & e_{12} & e_{13} & e_{14} & e_{15} & e_{16} \\ f_{11} & f_{12} & f_{13} & f_{14} & f_{15} & f_{16} \\ f_{21} & f_{22} & f_{23} & f_{24} & f_{25} & f_{26} \\ f_{31} & f_{32} & f_{33} & f_{34} & f_{35} & f_{36} \end{vmatrix}.$$

- ③ Extract the terms  $p_V p_W$ : replace  $a_i \cdot (a_{1j} \wedge a_{2k} \wedge a_{3l})$  with  $x_{i,j,k,l}$
- ④ Repeat previous step for  $b, c, \dots, f$ .

The resulting polynomial will be a polynomial in the image of the Young Symmetrizer associated to our initial fillings.

## Solution to Problem 6.5 in [Abo-Wan 2012]

### Theorem (Abo-Daleo-Hauenstein-O.)

The hypersurface  $\sigma_5(\mathbb{P}^2 \times \mathbb{G}(2,5)) \subset \mathbb{P}^{59}$  is defined by the image of the Young symmetrizer produced by the recipe given by the filling

$$\begin{array}{|c|c|} \hline a & c \\ \hline b & e \\ \hline d & f \\ \hline \end{array} \otimes \begin{array}{|c|c|c|} \hline a & b & c \\ \hline a & b & d \\ \hline a & d & e \\ \hline b & d & f \\ \hline c & e & f \\ \hline c & e & f \\ \hline \end{array}.$$

The resulting polynomial has precisely 10080 monomials, 5040 of which have coefficient +1 and 5040 of which have coefficient -1.

Download from ancillary files associated to the arXiv version of our paper!

“Theorem” not “Theorem\*” because there is no lower degree invariant equation.

## An Ottaviani-type expression?

We suppose that this equation may have an expression as a root of a determinant of a special matrix, similar to Ottaviani's degree 15 equation in [Ottaviani'09], however our initial attempts at finding such an expression were unsuccessful.

A natural guess is to start from  $T \in A \otimes \wedge^3 B$  and produce the  $18 \times 36$  matrix

$$A_T: (B \otimes B)^* \rightarrow (A \otimes B),$$

which has rank 3 when  $T$  has rank 1 and rank  $\leq 3r$  when  $T$  has rank  $r$ .

However, this map actually factors through a map

$$\wedge^2 B^* \rightarrow (A \otimes B)$$

but this matrix is  $18 \times 15$ , and its maximum rank is 15. This means that this construction cannot distinguish rank 5 tensors from rank 6 tensors.



# Numerical Algebraic Geometry: Bertini

Let  $\mathcal{H}$  be an irreducible hypersurface and  $\mathcal{L}$  be a line so that  $\deg \mathcal{H} = |\mathcal{H} \cap \mathcal{L}|$ .

- ① Generate a point  $x \in \mathcal{H} \cap \mathcal{L}$ . Initialize  $\mathcal{W} := \{x\}$ .
- ② Perform a random monodromy loop starting at the points in  $\mathcal{W}$ :
  - (a) Pick a random loop  $\mathcal{M}(t)$  in the space of lines so that  $\mathcal{M}(0) = \mathcal{M}(1) = \mathcal{L}$ .
  - (b) Trace the curves  $\mathcal{H} \cap \mathcal{M}(t)$  starting at the points in  $\mathcal{W}$  at  $t = 0$  to compute the endpoints  $\mathcal{E}$  at  $t = 1$ . (Hence,  $\mathcal{E} \subset \mathcal{H} \cap \mathcal{L}$ ).
  - (c) Update  $\mathcal{W} := \mathcal{W} \cup \mathcal{E}$ .
- ③ Repeat (2) until the trace test verifies that  $\mathcal{W} = \mathcal{H} \cap \mathcal{L}$ .

## Proposition\*

*The hypersurface  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2, 5))) \subset \mathbb{P}^{59}$  has degree 6.*

In our execution of the procedure for the hypersurface  $\mathcal{H} = \sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(2, 5)))$ , it took 6 random monodromy loops to compute the six points in  $\mathcal{H} \cap \mathcal{L}$ . The total procedure lasted 50 seconds using a single 2.3 GHz core of an AMD Opteron 6376 processor.

## Proposition\*

*The hypersurface  $\sigma_5(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1, 6))) \subset \mathbb{P}^{62}$  has degree 21.*

## Proposition\*

*The hypersurface  $\sigma_8(\text{Seg}(\mathbb{P}^2 \times \mathbb{G}(1, 10))) \subset \mathbb{P}^{164}$  has degree 33.*

In our execution, it took 13 and 12 random monodromy loops to yield the degree many points for these cases, respectively. Using a total of sixteen 2.3 GHz cores, the total procedure lasted 2.5 and 32 minutes, respectively.